

## PART B - FEE(S) TRANSMITTAL



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31013 7590 02/03/2006

KRAMER LEVIN NAFTALIS & FRANKEL LLP  
 INTELLECTUAL PROPERTY DEPARTMENT  
 1177 AVENUE OF THE AMERICAS  
 NEW YORK, NY 10036

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Jenny Lynn Stewart (Depositor's name)  
 (Signature)  
 May 3, 2006 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/839,932	04/20/2001	David Moy	100647-3845	4118

TITLE OF INVENTION: PROCESS FOR PRODUCING SINGLE WALL NANOTUBES USING UNSUPPORTED METAL CATALYSTS AND SINGLE WALL NANOTUBES PRODUCED ACCORDING TO THIS METHOD

05/11/2006 WABDEL3 00000029 09839932

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE PAID
nonprovisional	NO	\$1400 XXX \$700	\$300	\$1700 01 FC:2501 02 FC:1504 03 FC:0001 XXXX \$1000	05/11/2006 2006.00 OP 30.00 OP
EXAMINER		ART UNIT	CLASS-SUBCLASS		

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.563).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Kramer Levin Naftalis &  
 2. Frankel LLP  
 3. Barry Evans, Esq.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Hyperion Catalysis International, Inc. Cambridge, MA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee Check No. 9876 \$1000.00  
☒ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 10 Check No. 9875

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-0540 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date May 3, 2006

Typed or printed name Albert B. Chen, Esq.

Registration No. 41,667

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Moy et al. Conf. No. 4118  
Serial No. : 09/839,932  
Filed : April 20, 2001  
For : PROCESS FOR PRODUCING SINGLE WALL NANOTUBES USING  
UNSUPPORTED METAL CATALYSTS AND SINGLE WALL  
NANOTUBES PRODUCED ACCORDING TO THIS METHOD  
Art Unit : 1754  
Examiner : Stuart Hendrickson

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Jenny Lynn Stewart

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**TRANSMITTAL OF ISSUE FEE**

Sir:

In connection with the above-identified patent application, enclosed please find the ISSUE FEE TRANSMITTAL, PTOL-85. Applicants also enclose herewith Kramer Levin Naftalis & Frankel LLP check no. 9876 for \$1000.00 covering the Issue Fee for a small entity and the publication fee. Also enclosed is check no. 9875 for \$30.00 for ten (10) additional soft copies of the patent.

Please charge any additional fees or credit any overpayment to Deposit Account

No. 50-0540. A duplicate copy of this communication is enclosed therefore.

Respectfully submitted,  
KRAMER LEVIN NAFTALIS & FRANKEL LLP  
Attorneys for Applicants

Dated: May 3, 2006

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